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B1 -- 72. The pharmaceutical composition of claim 65, wherein the compound is present in an effective amount for treating schizophrenia.

-- 73. The compound of claim 43, wherein R¹ is a straight-chained (C2-C3) aliphatic group.

-- 74. The compound of claim 73, wherein R² forms a double bond with an adjacent carbon from R¹.


REMARKS

Entry of the amendment prior to calculation of claim fees is respectfully requested. By the present amendment, claims 42-74 are in the application, for a total of 33 claims, of which two are independent.

Conclusion

Prompt examination on the merits is earnestly solicited.¹

Respectfully submitted,


Arthur E. Jackson

Registration No. 34,354

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Princeton Pike Corporate Center
PO Box 5218
Princeton, New Jersey 08543-5218
Allen Bloom (609) 620-3214
Arthur E. Jackson (609) 620-3254
Fax: (609) 620-3259
Attention: Arthur E. Jackson

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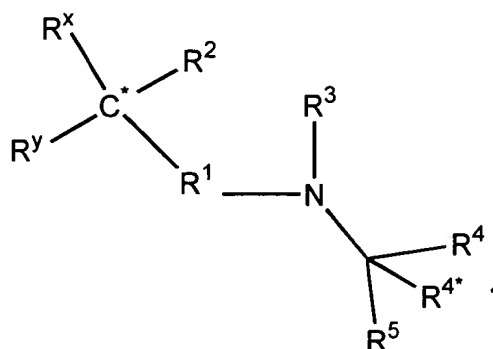
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APPENDIX A: HIGHLIGHTED LEAD CLAIM 43

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43. A compound of the following formula:



or a pharmaceutically acceptable salt thereof,

wherein:

- (1) C^* is a substituted carbon;
- (2) R^2 (a) is hydrogen, (C1-C6) alkyl, (C1-C6) alkoxy, cyano, (C2-C7) alkanoyl, aminocarbonyl, (C1-C6) alkylaminocarbonyl, or dialkylaminocarbonyl wherein each alkyl is independently C1 to C6, (b) comprises (where R^1 is not aminoethylene, $-O-R^8$ or $-S-R^8^*$) hydroxy, fluoro, chloro, bromo or (C2-C7) alkanoyloxy, (c) forms a double bond with an adjacent carbon or nitrogen from one of either R^1 , R^{xb} or R^{yb} , (d) is R^{2a} linked by R^{2b} to C^* , or (e) is ethylene forming a third bridging structure as set forth in (2ⁱⁱⁱ)(b)(i);

(2i) R^x is R^{xa} linked by R^{xb} to C^* ;

(2ii) R^y is R^{ya} linked by R^{yb} to C^* ;

(2iii) R^{xa} and R^{ya} , are independently Ar, which is phenyl or naphthyl, heteroaryl, or or a 5 to 7-membered non-aromatic ring having from 0 to 2 heteroatoms selected from the group consisting of oxygen, sulfur and nitrogen, and R^{2a} , when present, is Ar, and wherein:

- (a) heteroaryl comprises thienyl, furanyl, thiazolyl, isothiazolyl, oxazolyl, isoxazolyl, or one of the foregoing fused to phenyl, or methylenedioxyphenyl,

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- (b) each of R^{xa} and R^{ya} can be independently substituted with one of R^q , R^r or R^s , wherein each of R^q , R^r and R^s are independently Ar, heteroaryl, adamantyl, or a 5 to 7-membered non-aromatic ring having from 0 to 2 heteroatoms selected from the group consisting of oxygen, sulfur and nitrogen, and
- (c) R^{xa} , R^{ya} , R^{2a} , R^q , R^r and R^s can be substituted or additionally substituted with one or more substituents selected from the group consisting of fluoro, chloro, bromo, nitro, hydroxy, cyano, trifluoromethyl, amidosulfonyl which can have up to two independent (C1-C6) N-alkyl substitutions, (C1-C12) alkyl, (C2-C12) alkenyl, amino, (C1-C6) alkylamino, dialkylamino wherein each alkyl of dialkylamino is independently C1 to C6, (C1-C6) alkoxy, (C2-C7) alkanoyl, (C2-C7) alkanoyloxy, trifluoromethoxy, hydroxycarbonyl, (C2-C7) alkyloxycarbonyl, aminocarbonyl that can be substituted for hydrogen with up to two independent (C1-C6) alkyl, (C1-C6) alkylsulfonyl, or amidino wherein the amidino can be independently substituted with up to three (C1-C6) alkyl groups, wherein:
- (i.) the substitutions of R^{xa} and R^{ya} can be combined to form a second bridge between R^{xa} and R^{ya} comprising (1) methylene or ethylene, which methylene or ethylene can be substituted by an R^2 when R^2 is ethylene to form the third bridging structure, or (2) $-CH=CH-$, or (3) sulfur, or (4) oxygen, or wherein R^{xa} and R^{ya} can be directly linked by a single bond,
- (d) wherein at least one of R^{xa} , R^{ya} , R^q , R^r or R^s is heteroaryl, or a second bridge between R^{xa} and R^{ya} comprises sulfur or oxygen as set forth below, or Ar substituted with a methylenedioxy;
- (2iv) R^{xb} and R^{2b} are independently a single bond or (C1-C2) alkylene;

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(2^v) R^{y^b} is a single bond, oxy, (C1-C2) alkylene, ethenylene or -CH= (where the double bond is with C^{*}), thio, methyleneoxy or methylenethio, or either -N(R⁶) or -CH₂-N(R^{6*})-, wherein R⁶ and R^{6*} are hydrogen or (C1-C6) alkyl;

(3) R¹ comprises: a straight-chained (C2-C3) aliphatic group; =N-O-(ethylene), wherein the unmatched double bond is linked to C^{*}; -O-R⁸ or -S-R^{8*} wherein R⁸ or R^{8*} is a ethylene or ethenylene and O or S is bonded to C^{*}; aminoethylene where the amino is bonded to C^{*}:

wherein R¹ can be substituted with up to one hydroxy, up to one (C1-C6) alkoxy or up to one (C2-C7) alkanoyloxy, with up to two independent (C1-C6) alkyl, with up to one oxo, up to one (C1-C6) alkylidene, with the proviso that the hydroxy, alkoxy, alkanoyloxy or oxo substituents are not bonded to a carbon that is bonded to a nitrogen or oxygen;

wherein if R¹ contributes a heteroatom linked to C^{*}, then R^{y^b} does not contribute a heteroatom linked to C^{*}; and

wherein the alkyl or alkylidene substituents of R¹ can be linked to form a 3 to 7-membered non-aromatic ring;

(4) R³ (a) is hydrogen, (C1-C6) alkyl, or phenyl or phenylalkyl wherein the alkyl is C1 to C6 and the phenyl or phenyl of phenylalkyl can be substituted with the same substituents defined above for the phenyl of R^{x^a}, (b) is -R¹²C(R^{xx})(R^{yy})(R¹¹), wherein R¹² is bonded to N, R^{xx} is independently the same as R^x, R^{yy} is independently the same as R^y, R¹¹ is independently the same as R² and R¹² is independently the same as R¹;

(5) R⁴ and R^{4*} are independently hydrogen or (C1-C6) alkyl, or one of R⁴ and R^{4*} can be (C1-C6) hydroxyalkyl; and

(6) R⁵ is (CO)NR¹³R¹⁴, (CO)OR¹⁵, (CO)SR¹⁶, (SO₂)NR¹⁷R¹⁸, (PO)(OR¹⁹)(OR²⁰), (CR²²)(OR²³)(OR²⁴), CN or tetrazol-5-yl, wherein (a) R¹³, R¹⁴, R¹⁵, R¹⁶, R¹⁷, R¹⁸ R¹⁹

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and R²⁰ are independently hydrogen, (C1-C8) alkyl which can include a (C3-C8) cycloalkyl, wherein the carbon linked to the oxygen of R¹⁵ or the sulfur of R¹⁶ has no more than secondary branching, (C2-C6) hydroxyalkyl, aminoalkyl where the alkyl is C2 to C6 and the amino can be substituted with up to two independent (C1-C6) alkyls, Ar-alkyl wherein the alkyl is C1-C6, or Ar, and (b) R²² is hydrogen or OR²⁵ and R²³, R²⁴ and R²⁵ are independently (C1-C6) alkyl, phenyl, benzyl or acetyl or, the alkyls of R²³ and R²⁴ can be combined to include 1,3-dioxolane or 1,3-dioxane:

wherein the phenyl or naphthyl groups of R¹³, R¹⁴, R¹⁵, R¹⁶, R¹⁷, R¹⁸, R¹⁹, R²⁰, R²², R²³ or R²⁴ can be substituted with substituents selected from the group consisting of fluoro, chloro, bromo, nitro, cyano, hydroxy, trifluoromethyl, amidosulfonyl which can have up to two independent (C1-C6) N-alkyl substitutions, (C1-C6) alkyl, (C2-C6) alkenyl, (C1-C6) alkylamine, dialkylamine wherein each alkyl is independently C1 to C6, amino, (C1-C6) alkoxy, (C2-C7) alkanoyl, (C2-C7) alkanoyloxy, trifluoromethoxy, hydroxycarbonyl, (C2-C7) alkyloxycarbonyl, aminocarbonyl that can be N-substituted with up to two independent (C1-C6) alkyl, (C1-C6) alkylsulfonyl, or amidino that can substituted with up to three (C1-C6) alkyl;

wherein R¹³ and R¹⁴ together with the attached nitrogen can form a 5 to 7-membered ring.

44. **The compound of claim 43, wherein at least one of R^{xa}, R^{ya}, R^q, R^r and R^s is thienyl or furanyl.**

45. **The compound of claim 43, wherein at least one of R^{xa} and R^{ya} is thienyl or furanyl.**

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47. The compound of claim 46, wherein at least one of R^{xa} , R^{ya} , R^q , R^r and R^s is substituted with fluoro, trifluoromethyl, trifluoromethoxy, nitro, cyano, or (C3-C8) alkyl.

53. The compound of claim 51, wherein R^5 is $(CO)OR^{15}$ and R^{15} is (C2-C6) alkyl, (C2-C4) hydroxyalkyl, phenyl, phenylalkyl wherein the alkyl is C1-C3, or aminoalkyl where the alkyl is C2-C6 and the amino can be substituted with up to two independent (C1-C3) alkyls, wherein the phenyl or the phenyl of phenylalkyl can be substituted.

54. The compound of claim 51, wherein R^5 is $(CO)OR^{15}$ and R^{15} is hydrogen.

62. **The compound of claim 43, wherein R^3 is hydrogen, (C1-C6) alkyl, or phenyl or phenylalkyl wherein the alkyl is C1 to C6 and the phenyl or phenyl of phenylalkyl can be substituted with the same substituents defined above for the phenyl of R^{xa} .**

66. The compound of claim 43 wherein:

- (1) R^2 is hydrogen,
- (2) R^{xa} and R^{ya} are phenyl, **thienyl or furanyl, and can be substituted,**
- (3) R^{xb} is a single bond and R^{yb} is a single bond or oxy, and
- (4) R^5 is $(CO)NR^{13}R^{14}$ or $(CO)OR^{15}$, wherein R^{13} , R^{14} , and R^{15} are independently hydrogen; (C1-C8) alkyl which can include a (C3-C8) cycloalkyl, wherein the carbon linked to the oxygen of OR^{15} has no more than secondary branching; (C2-C6) hydroxyalkyl or aminoalkyl where the alkyl is C2 to C6 and the amino can be substituted with up to two independent (C1-C6) alkyl or phenylalkyl, wherein the alkyl is C1-C6 and the phenyl can be substituted with substituents selected from the group consisting of fluoro, chloro, bromo, nitro, cyano, hydroxy, trifluoromethyl, amidosulfonyl which can have up to two independent (C1-C6) N-alkyl substitutions, (C1-C6) alkyl, (C2-C6) alkenyl, (C1-C6) alkylamine, dialkylamine wherein each alkyl is independently C1 to C6, amino, (C1-C6) alkoxy, (C2-C7)

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alkanoyl, (C2-C7) alkanoyloxy, trifluoromethoxy, hydroxycarbonyl, (C2-C7) alkyloxycarbonyl, aminocarbonyl that can be N-substituted with up to two independent (C1-C6) alkyl, (C1-C6) alkylsulfonyl, amidino that can substituted with up to three (C1-C6) alkyl.

67. The compound of claim 66, wherein R^2 forms a double bond with an adjacent carbon from R^1 .

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